Last Class: Classical Problems in Distributed Systems

- Time ordering and clock synchronization
- GPS
- Logical Clocks

Today: More Classical Problems

- Logical and Vector Clocks
- Distributed Snapshots
- Termination Detection
- Leader election
- Mutual exclusion

Logical Clocks

- For many problems, internal consistency of clocks is important
  - Absolute time is less important
  - Use *logical* clocks
- Key idea:
  - Clock synchronization need not be absolute
  - If two machines do not interact, no need to synchronize them
  - More importantly, processes need to agree on the *order* in which events occur rather than the *time* at which they occurred

Event Ordering

- *Problem:* define a total ordering of all events that occur in a system
- Events in a single processor machine are totally ordered
- In a distributed system:
  - No global clock, local clocks may be unsynchronized
  - Can not order events on different machines using local times
- Key idea [Lamport]
  - Processes exchange messages
  - Message must be sent before received
  - Send/receive used to order events (and synchronize clocks)

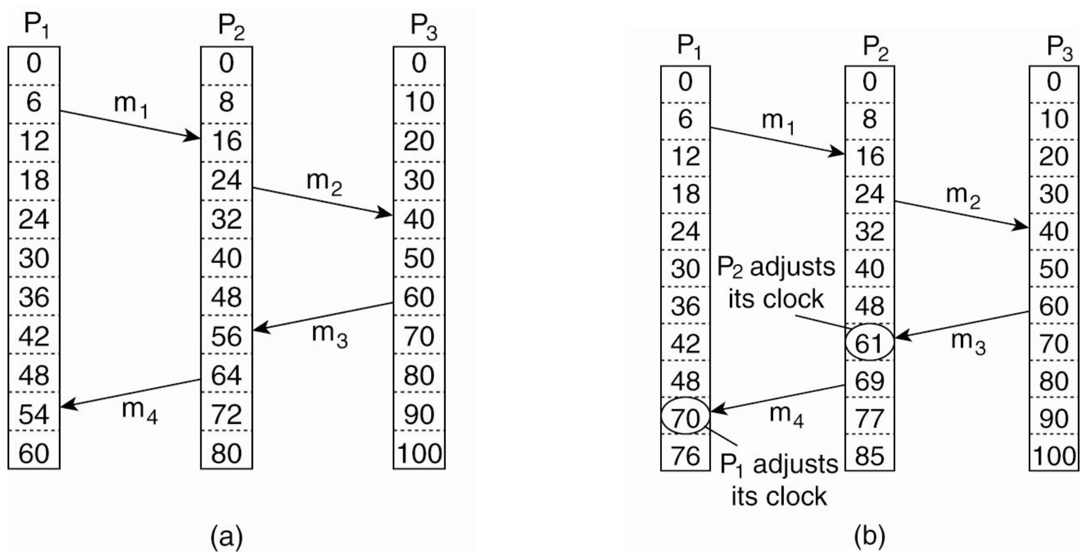
Happened Before Relation

- If *A* and *B* are events in the same process and *A* executed before *B*, then *A -> B*
- If A represents sending of a message and B is the receipt of this message, then A -> B
- Relation is transitive:
  – A -> B and B -> C => A -> C
- Relation is undefined across processes that do not exchange messages
  – Partial ordering on events

Event Ordering Using *HB*

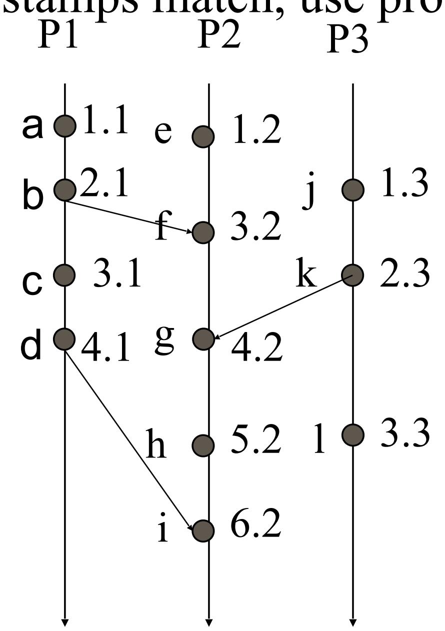
- Goal: define the notion of time of an event such that
  – If A-> B then C(A) < C(B)
  – If A and B are concurrent, then C(A) <, = or > C(B)
- Solution:
  – Each processor maintains a logical clock LC_i
  – Whenever an event occurs locally at I, $LC_i = LC_i+1$
  – When *i* sends message to *j,* piggyback Lc_i
  – When *j* receives message from *i*
    - If $LC_j < LC_i$ then $LC_j = LC_i +1$ else do nothing
  – Claim: this algorithm meets the above goals

Lamport's Logical Clocks



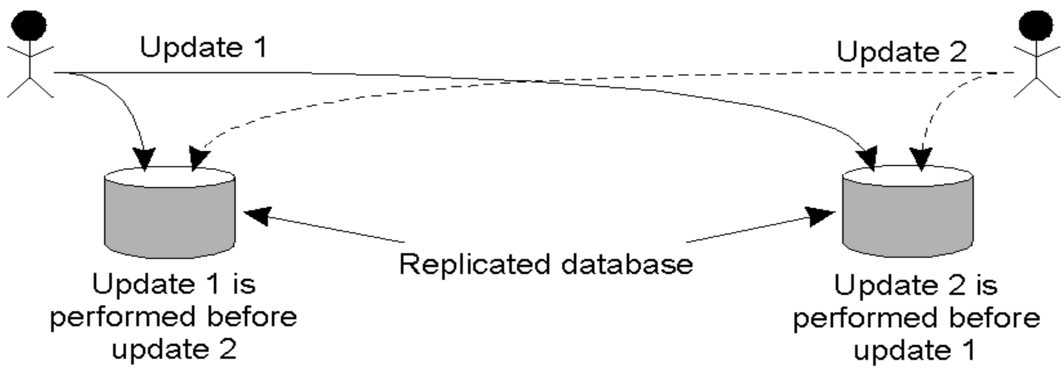
Total Order

- Create total order by attaching process number to an event. If time stamps match, use process # to order



Example: Totally-Ordered Multicasting

- Updating a replicated database and leaving it in an inconsistent state. -
  - only need to order messages (no need to compare local events)
  - send every message to all nodes.



Algorithm

- Totally ordered multicasting for banking example
  - Update is timestamped with sender's logical time
  - Update message is multicast (including to sender)
  - When message is received
    - It is put into local queue
    - Ordered according to timestamp,
    - Multicast acknowledgement
  - Message is delivered
    - It is at the head of the queue
    - IT has been acknowledged by all processes
    - P_i sends ACK to P_j if
      - P_i has not made a request
      - P_i update has been processed and P_i's ID > P_j's Id

Causality

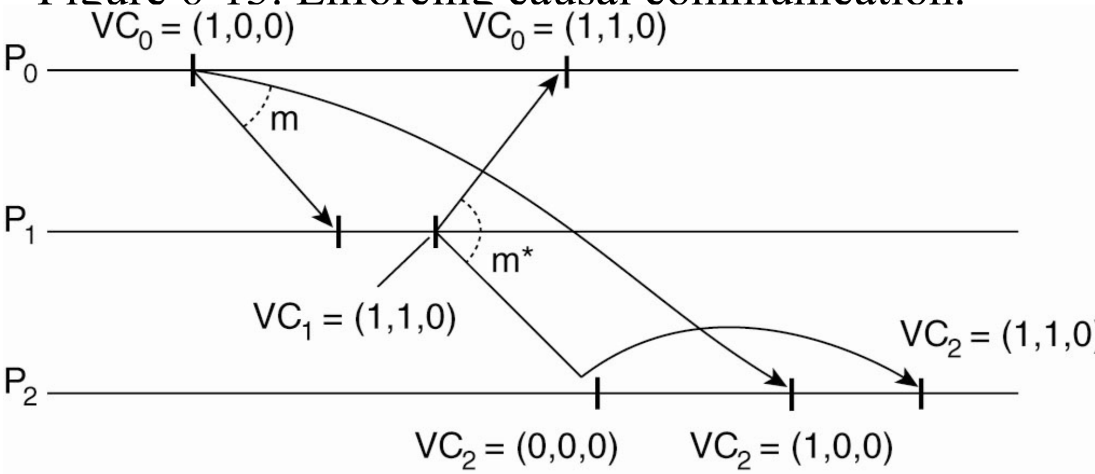
- Lamport's logical clocks
  - If *A -> B* then *C(A) < C(B)*
  - Reverse is not true!!
    - Nothing can be said about events by comparing time-stamps!
    - If *C(A) < C(B),* then ??
- Need to maintain *causality*
  - If a -> b then a is casually related to b
  - *Causal delivery*:If send(m) -> send(n) => deliver(m) -> deliver(n)
  - Capture causal relationships between groups of processes
  - Need a time-stamping mechanism such that:
    - If *T(A) < T(B)* then *A* should have causally preceded *B*

Vector Clocks

- Each process *i* maintains a vector V_i
  - $V_i[i]$: number of events that have occurred at i
  - $V_i[j]$: number of events I knows have occurred at process j
- Update vector clocks as follows
  - Local event: increment $V_i[I]$
  - Send a message :piggyback entire vector V
  - Receipt of a message: $V_j[k] = \max(V_j[k], V_i[k])$
    - Receiver is told about how many events the sender knows occurred at another process *k*
    - Also $V_j[i] = V_j[i]+1$
- *Exercise:* prove that if *V(A)<V(B),* then *A* causally precedes *B* and the other way around.

Enforcing Causal Communication

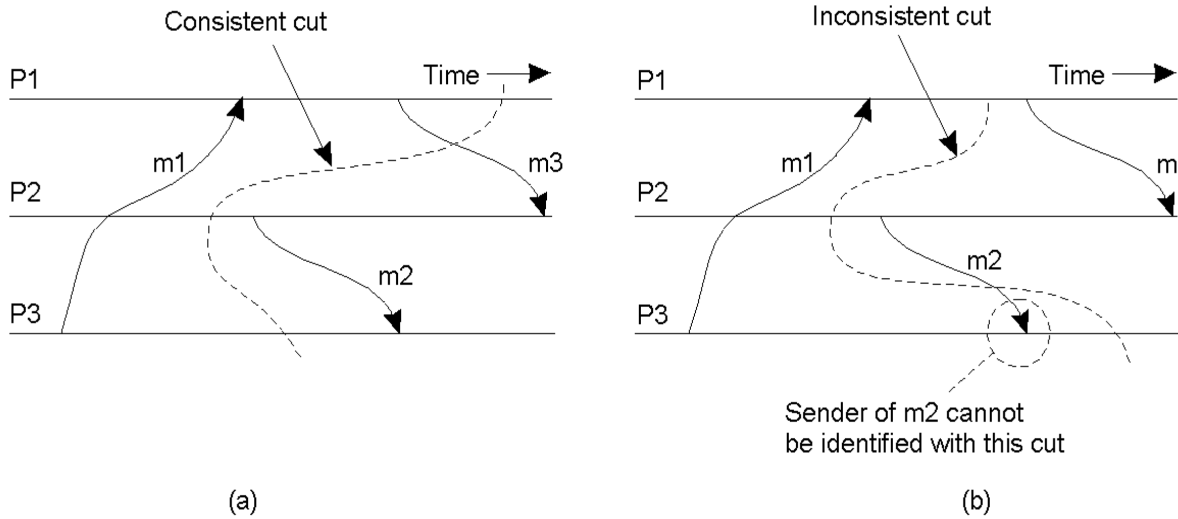
- Figure 6-13. Enforcing causal communication.



Global State

- Global state of a distributed system
  - Local state of each process
  - Messages sent but not received (state of the queues)
- Many applications need to know the state of the system
  - Failure recovery, distributed deadlock detection
- Problem: how can you figure out the state of a distributed system?
  - Each process is independent
  - No global clock or synchronization
- Distributed snapshot: a consistent global state

Global State (1)



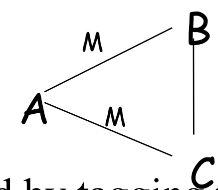
a) A consistent cut
b) An inconsistent cut

Distributed Snapshot Algorithm

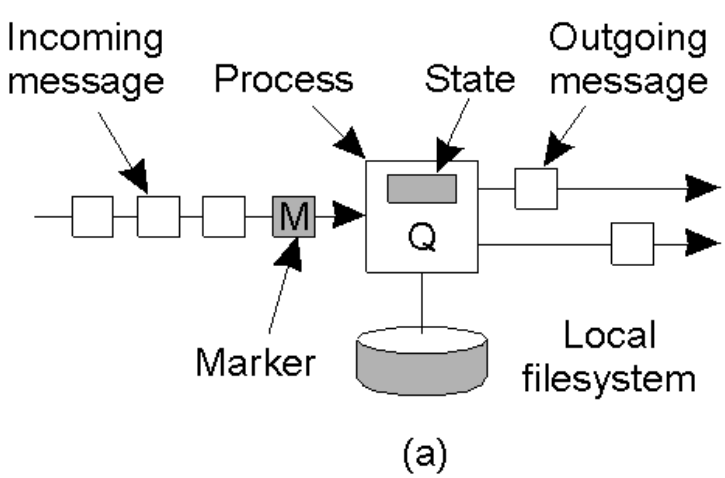
- Assume each process communicates with another process using unidirectional point-to-point channels (e.g, TCP connections)
- Any process can initiate the algorithm
  - Checkpoint local state
  - Send marker on every outgoing channel
- On receiving a marker
  - Checkpoint state if first marker and send marker on outgoing channels, save messages on all other channels until:
  - Subsequent marker on a channel: stop saving state for that channel

Distributed Snapshot

- A process finishes when
  - It receives a marker on each incoming channel and processes them all
  - State: local state plus state of all channels
  - Send state to initiator
- Any process can initiate snapshot
  - Multiple snapshots may be in progress
    - Each is separate, and each is distinguished by tagging the marker with the initiator ID (and sequence number)

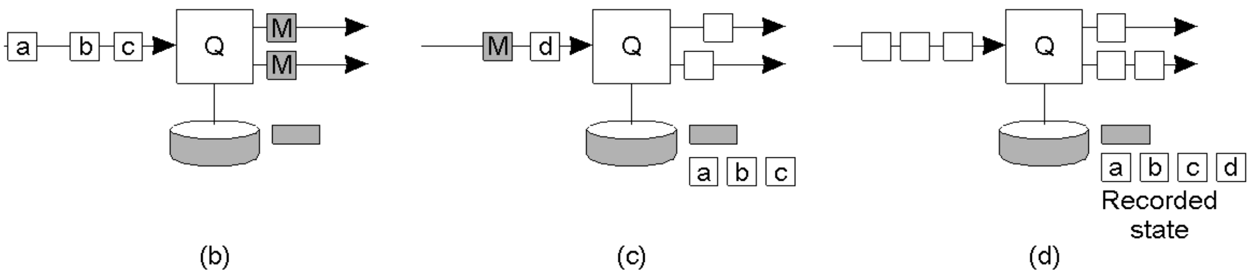


Snapshot Algorithm Example



a) Organization of a process and channels for a distributed snapshot

Snapshot Algorithm Example



b) Process Q receives a marker for the first time and records its local state

c) Q records all incoming message

d) *Q* receives a marker for its incoming channel and finishes recording the state of the incoming channel

Termination Detection

- Detecting the end of a distributed computation
- Notation: let sender be *predecessor*, receiver be *successor*
- Two types of markers: Done and Continue
- After finishing its part of the snapshot, process *Q* sends a Done or a Continue to its predecessor
- Send a Done only when
  - All of *Q*'s successors send a Done
  - *Q* has not received any message since it check-pointed its local state and received a marker on all incoming channels
  - Else send a Continue
- Computation has terminated if the initiator receives Done messages from everyone

Election Algorithms

- Many distributed algorithms need one process to act as coordinator
  - Doesn't matter which process does the job, just need to pick one
- Election algorithms: technique to pick a unique coordinator (aka *leader election*)
- Examples: take over the role of a failed process, pick a master in Berkeley clock synchronization algorithm
- Types of election algorithms: Bully and Ring algorithms

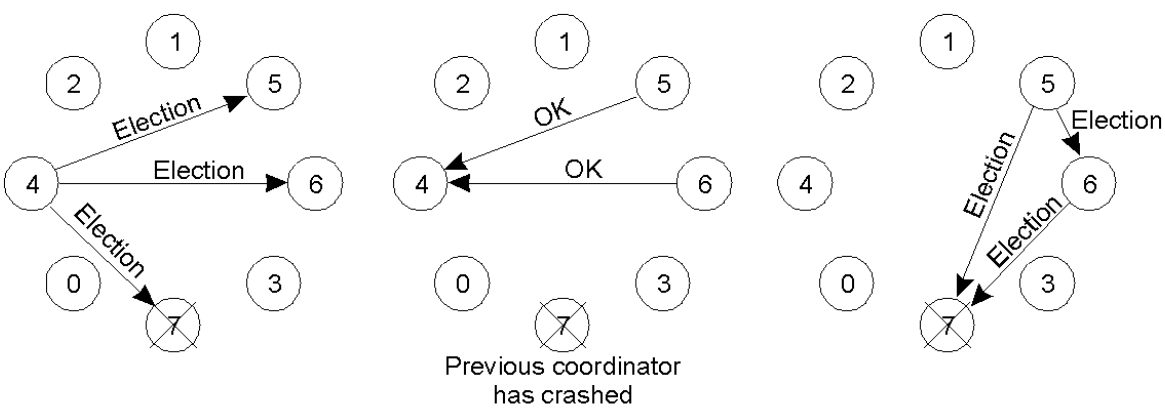
Bully Algorithm

- Each process has a unique numerical ID
- Processes know the Ids and address of every other process
- Communication is assumed reliable
- *Key Idea*: select process with highest ID
- Process initiates election if it just recovered from failure or if coordinator failed
- 3 message types: *election, OK, I won*
- Several processes can initiate an election simultaneously
  - Need consistent result
- $O(n^2)$ messages required with n processes

Bully Algorithm Details

- Any process *P* can initiate an election
- *P* sends *Election* messages to all process with higher Ids and awaits *OK* messages
- If no *OK* messages, *P* becomes coordinator and sends *I won* messages to all process with lower Ids
- If it receives an *OK*, it drops out and waits for an *I won*
- If a process receives an *Election* msg, it returns an *OK* and starts an election
- If a process receives a *I won*, it treats sender an coordinator

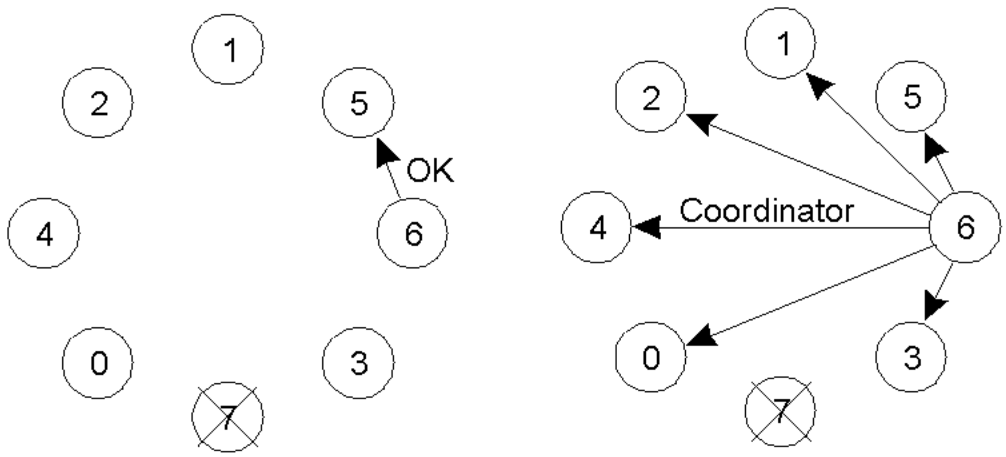
Bully Algorithm Example



(a) (b) (c)

Bully Algorithm Example

d)
e)



(d)   (e)